



Docket No. 5677-211

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: July 18, 2004

Name: Lisa M. Davis

Signature: *Lisa M. Davis*  
Clifford Chance US LLP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Leo J. Romanczyk, Jr. et al.

Filed: March 11, 2004

Group Art Unit: To be Assigned

Serial No: 10/798,131

Examiner: To be Assigned

For: SYNTHETIC METHODS FOR PREPARING PROCYANIDIN OLIGOMERS

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

The '801 application has the same disclosure as U.S. 6,207,842 and U.S. 6,420,572 (cited earlier).

The '244, '241, '801 and '729 applications have the same disclosure as U.S.-2003-0114691-A1.

Respectfully submitted,

Date:

July 16, 2004

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## INFORMATION DISCLOSURE STATEMENT

Inventors: Leo J. Romanczyk, Jr. et al.

(Use several sheets if necessary)

Docket No. 5677-211



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,255,336	3-10-81	Albert et al.	260	345.2	Nov. 21, 1978
	6,476,241	11-5-02	Kozikowski et al.	549	399	9-5-00
	6,528,664	3/4/03	Romanczyk et al.	549	400	11-14-01
	6,720,432	4-13-04	Kozikowski et al.	549	415	8-8-02
	2003-0114691-A1	6-19-03	Romanczyk et al.	549	354	8-6-02
	2003-0176620-A1	9-18-03	Romanczyk et al.	528	86	1-31-03
	09/292,244		Basak et al.			4-15-99
	10/658,241		Kozikowski et al.			9-9-03
	10/783,801		Kozikowski et al.			5-28-04
	10/481,729		Kozikowski et al.			12-22-03

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

## OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Tuckmantel, et al., "Studies in Polyphenol Chemistry and Bioactivity. 1. Preparation of Building Blocks from (+)-Catechin. Procyanidin Formation. Synthesis of the Cancer Cell Growth Inhibitor, 3-O-Galloyl-(2R,3R)-epicatechin-4 $\beta$ ,8-[3-O-galloyl-(2R,3R)-epicatechin], <i>J. Am. Chem. Soc.</i> , <b>1999</b> , 121, 12073-12081
	Kozikowski et al., "Studies in Polyphenol Chemistry and Bioactivity. 3. <sup>1,2</sup> Stereocontrolled Synthesis of Epicatechin-4 $\alpha$ ,8-epicatechin, an Unnatural Isomer of the B-Type Procyanidins", <i>J. Org. Chem.</i> , <b>2001</b> , 66, 1287-1296

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	